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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sreenivasan et al.

PATENT APPLICATION

Serial No.: 10/747,795

Group Art Unit: 2851

Filing Date: Dec. 29, 2003

Examiner: Peter B. Kim

For: IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT  
MARKSINFORMATION DISCLOSURE STATEMENTCommissioner  
for Patents  
Alexandria, VA 22313

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56. Form PTO-1449 and the requisite copies of each reference recited below accompanies this document. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

2

U.S. PATENT DOCUMENTS

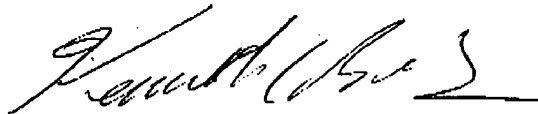
<u>Number</u>	<u>Kind Code</u>	<u>Inventor</u>	<u>Date of Publication</u>
5,414,514	B1	Smith et al.	05-09-1995
5,808,742	B1	Everett et al.	09 15-1998
6,088,103	B1	Everett et al.	07-11-2000
6,522,411	B1	Moon et al.	02-18-2003
6,713,238	B1	Chou et al.	03-30-2004

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No. 2851 (Fax No. (703) 872-9306).

Signed: Michael Carter  
Typed Name: Michael Carter

Date: July 1, 2004

Respectfully Submitted,



Kenneth C. Brooks  
Reg. No. 38,393

Legal Department  
Molecular Imprints, Inc.  
P.O. Box 81536  
Austin, Texas 78708-1536  
Telephone: 512 527-0104  
Facsimile: 512-527-0107





Molecular Imprints, Inc.

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patentsrus@earthlink.netAUSTIN, TEXAS 78708-1537  
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TO:	Peter B. Kim, GAU 2851	FROM:	Kenneth C. Brooks
COMPANY:	United States Patent and Trademark Office	DATE:	July 1, 2004
FAX NUMBER:	703-872-9306	TOTAL NO. OF PAGES INCLUDING COVER:	6
RE:	Information Disclosure Statement U.S. Patent Application No. 10/747,795 Filing Date: December 29, 2003 Entitled: IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT MARKS Our Ref. No.: PA96-38D13		

Please see attached.

Encls.  
Transmittal Form  
Fee Transmittal  
Information Disclosure Statement  
Form 1449 - Five (5) Reference  
KCB:mdc

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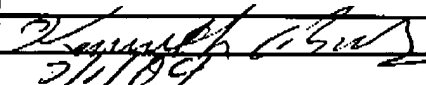
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P10/53/21 (02-04)

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/747,795	
	Filing Date	December 29, 2003	
	First Named Inventor	S.V. Sreenivasan	
	Art Unit	2851	
	Examiner Name	Peter B. Kim	
Total Number of Pages in This Submission	5	Attorney Docket Number	PA96-38D13

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449 - Five (5) References
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Kenneth C. Brooks	
Signature		
Date	3/1/04	

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Typed or printed name	Michael D. Carter	
Signature		Date July 1, 2004

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003 Patent fees are subject to annual revision

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known	
Application Number	10/747,795
Filing Date	December 29, 2003
First Named Inventor	S.V. Sreenivasan
Examiner Name	Peter B. Kim
Art Unit	2851
Attorney Docket No.	PA96-38D13

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 502650 Deposit Account Name: Law Office of Kenneth C. Brooks The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1051 130</td> <td>2051 65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052 50</td> <td>2052 25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053 130</td> <td>1053 130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812 2,520</td> <td>1812 2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>1804 920*</td> <td>1804 920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805 1,840*</td> <td>1805 1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251 110</td> <td>2251 55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252 410</td> <td>2252 205</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253 930</td> <td>2253 465</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254 1,450</td> <td>2254 725</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255 1,970</td> <td>2255 985</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401 320</td> <td>2401 160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>1402 320</td> <td>2402 160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403 280</td> <td>2403 140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1451 1,510</td> <td>1451 1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452 110</td> <td>2452 55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1453 1,300</td> <td>2453 650</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1501 1,300</td> <td>2501 650</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>1502 470</td> <td>2502 235</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>1503 630</td> <td>2503 315</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>1460 130</td> <td>1460 130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807 50</td> <td>1807 50</td> <td>Processing fee under 37 CFR 1.17(a)</td> <td></td> </tr> <tr> <td>1808 180</td> <td>1808 180</td> <td>Submission of Information Disclosure Stmt</td> <td>180.00</td> </tr> <tr> <td>8021 40</td> <td>8021 40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>1809 750</td> <td>2809 375</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td></td> </tr> <tr> <td>1810 750</td> <td>2810 375</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> <td></td> </tr> <tr> <td>1801 750</td> <td>2801 375</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>1802 900</td> <td>1802 900</td> <td>Request for expedited examination of a design application</td> <td></td> </tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	1051 130	2051 65	Surcharge - late filing fee or oath		1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		1053 130	1053 130	Non-English specification		1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination		1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action		1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		1251 110	2251 55	Extension for reply within first month		1252 410	2252 205	Extension for reply within second month		1253 930	2253 465	Extension for reply within third month		1254 1,450	2254 725	Extension for reply within fourth month		1255 1,970	2255 985	Extension for reply within fifth month		1401 320	2401 160	Notice of Appeal		1402 320	2402 160	Filing a brief in support of an appeal		1403 280	2403 140	Request for oral hearing		1451 1,510	1451 1,510	Petition to institute a public use proceeding		1452 110	2452 55	Petition to revive - unavoidable		1453 1,300	2453 650	Petition to revive - unintentional		1501 1,300	2501 650	Utility issue fee (or reissue)		1502 470	2502 235	Design issue fee		1503 630	2503 315	Plant issue fee		1460 130	1460 130	Petitions to the Commissioner		1807 50	1807 50	Processing fee under 37 CFR 1.17(a)		1808 180	1808 180	Submission of Information Disclosure Stmt	180.00	8021 40	8021 40	Recording each patent assignment per property (times number of properties)		1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))		1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))		1801 750	2801 375	Request for Continued Examination (RCE)		1802 900	1802 900	Request for expedited examination of a design application	
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Total Claims Independent Claims: 20** - 3** = 17 Multiple Dependent: 1 Extra Claims: 17 Fee from below: 17 Fee Paid: 17		Other fee (specify): *Reduced by Basic Filing Fee Paid <b>SUBTOTAL (\$)</b> (\$180.00)																																																																																																																					

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Kenneth C Brooks	Registration No. (Attorney/Agent)	38393
Signature	<i>Kenneth C Brooks</i>	Telephone	(512) 527-0104
		Date	7/1/04

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